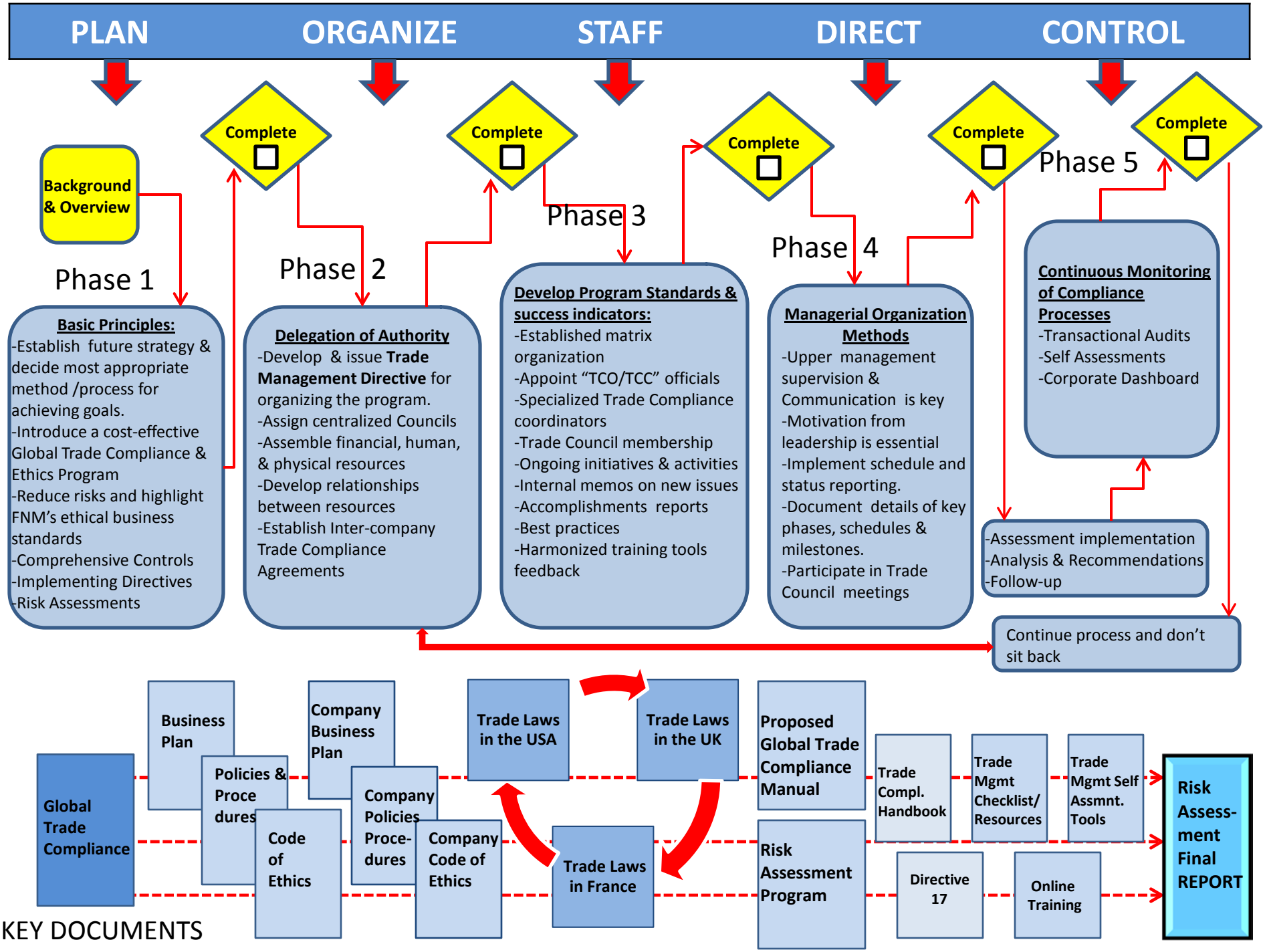
PLAN
ORGANIZE
STAFF
DIRECT
CONTROL
Background & Overview
Complete
Complete
Complete
Complete
Complete
Phase 1
Phase 2
Phase 3
Phase 4
Phase 5
Basic Principles:
-Establish future strategy & decide most appropriate method /process for achieving goals.
-Introduce a cost-effective Global Trade Compliance & Ethics Program
-Reduce risks and highlight FNM's ethical business standards
-Comprehensive Controls
-Implementing Directives
-Risk Assessments
Delegation of Authority
-Develop & issue Trade Management Directive for organizing the program.
-Assign centralized Councils
-Assemble financial, human, & physical resources
-Develop relationships between resources
-Establish Inter-company Trade Compliance Agreements
Develop Program Standards & success indicators:
-Established matrix organization
-Appoint "TCO/TCC" officials
-Specialized Trade Compliance coordinators
-Trade Council membership
-Ongoing initiatives & activities
-Internal memos on new issues
-Accomplishments reports
-Best practices
-Harmonized training tools feedback
Managerial Organization Methods
-Upper management supervision & Communication is key
-Motivation from leadership is essential
-Implement schedule and status reporting.
-Document details of key phases, schedules & milestones.
-Participate in Trade Council meetings
Continuous Monitoring of Compliance Processes
-Transactional Audits
-Self Assessments
-Corporate Dashboard
-Assessment implementation
-Analysis & Recommendations
-Follow-up
Continue process and don't sit back
Global Trade Compliance
Business Plan
Policies & Procedures
Code of Ethics
Company Business Plan
Company Policies Proce-dures
Company Code of Ethics
Trade Laws in the USA
Trade Laws in France
Trade Laws in the UK
Proposed Global Trade Compliance Manual
Risk Assessment Program
Trade Compl. Handbook
Trade Mgmt Checklist/ Resources
Trade Mgmt Self Assmnt. Tools
Directive 17
Online Training
Risk Assess-ment Final REPORT
KEY DOCUMENTS